



## Transparency notifications BlackRock, Inc.

- **2 notifications referring to situation on 30 July and 31 July 2018**
- **Threshold crossed: 5%**
- **Latest holding: 5.02%**

Brussels (Belgium), 3 August 2018 – 20:00 (CEST) – regulated information

### 1. Summary of the notifications

Pursuant to Article 14 of the law of 2 May 2007 on the disclosure of large shareholdings, UCB SA/NV announces that it has received 2 transparency notifications from BlackRock, Inc. (having its registered office at 55 East 52nd Street, New York, NY, 10055, U.S.A.), dated 31 July and 2 August 2018 respectively.

In the first notification BlackRock, Inc. has notified that, following a disposal of UCB shares with voting rights and a disposal of equivalent financial instruments by its affiliates, its total holding in UCB SA/NV has decreased and has crossed downwards the 5% threshold on 30 July 2018.

In the second notification, BlackRock, Inc. has notified that, following an acquisition of UCB shares with voting rights and a disposal of equivalent financial instruments by its affiliates, its total holding in UCB SA/NV has increased and has crossed the 5% threshold on 31 July 2018.

On 30 July 2018, BlackRock, Inc. (taking into account the holding of its affiliates) owned 9 074 988 UCB shares with voting rights (versus 9 090 707 shares in its previous notification dated 20 July 2018), representing 4.67% of the total number of shares issued by the company (194 505 658) (same percentage in the notification dated 20 July 2018) as well as 626 172 equivalent financial instruments (versus 636 167 in its previous notification dated 20 July 2018) representing 0.32% of the total number of shares issued by the company (versus 0.33% in the notification dated 20 July 2018).

On 31 July 2018, BlackRock, Inc. (taking into account the holding of its affiliates) owned 9 138 257 UCB shares with voting rights, representing 4.70% of the total number of shares issued by the company, as well as 625 727 equivalent financial instruments, representing 0.32% of the total number of shares issued by the company.

## 2. Content of the notifications

2.1 The information mentioned hereafter was identical in both notifications:

- **Reason for the notification:** Acquisition or disposal of voting securities or voting rights.
- **Notification by:** A parent undertaking or a controlling person.
- **Persons subject to the notification requirement:**

Name	Address (for legal entities)
BlackRock, Inc.	55 East 52nd Street, New York, NY, 10055, U.S.A.
BlackRock (Netherlands) B.V.	Rembrandt Tower, 17th floor, Amstelplein, Amsterdam, Netherlands
BlackRock (Singapore) Limited	20 Anson Road #18-01, Singapore, 79912, Singapore
BlackRock Advisors (UK) Limited	12 Throgmorton Avenue, London, EC2N 2DL, U.K.
BlackRock Advisors, LLC	100 Bellevue Parkway, Wilmington, DE, 19809, U.S.A.
BlackRock Asset Management Canada Limited	161 Bay Street, Suite 2500, Toronto, Ontario, M5J 2S1, Canada
BlackRock Asset Management Deutschland AG	Max-Joseph-Straße 6, Munich, 80333, Germany
BlackRock Asset Management North Asia Limited	15/F, 16/F, 17/F Citibank Tower & 17/F ICBC Tower, 3 Garden Road, Central, Hong Kong
BlackRock Capital Management, Inc.	100 Bellevue Parkway, Wilmington, 19809, U.S.A.
BlackRock Financial Management, Inc.	55 East 52nd Street, New York, NY, 10055, U.S.A.
BlackRock Fund Advisors	400 Howard Street, San Francisco, CA, 94105, U.S.A.
BlackRock Institutional Trust Company, National Association	400 Howard Street, San Francisco, CA, 94105, U.S.A.
BlackRock International Limited	Exchange Place One, 1 Sempole Street, Edinburgh, EH3 8BL, U.K.
BlackRock Investment Management (Australia) Limited	Level 37, Chifley Tower, 2 Chifley Square, Sydney NSW 2000 Australia
BlackRock Investment Management (UK) Limited	12 Throgmorton Avenue, London, EC2N 2DL, U.K.
BlackRock Investment Management, LLC	1 University Square Drive, Princeton, NJ, 8540, U.S.A.
BlackRock Japan Co., Ltd.	1-8-3 Marunouchi Chiyoda-ku, Trust Tower Main, Tokyo, 100-8217, Japan

- **Threshold crossed (in %):** 5%
- **Denominator:** 194 505 658.
- **Chain of controlled undertakings through which the holding is effectively held:**  
Please see the full chain of control in a PDF which is sent separately to this notification.

2.2 The first transparency notification dated 31 July 2018 included the following specific information:

- **Date on which the threshold is crossed:** 30 July 2018.
- **Notified details:**

A) Voting rights	Previous notification	After the transaction			
	# of voting rights	# of voting rights		% of voting rights	
Holders of voting rights		Linked to securities	Not linked to the securities	Linked to securities	Not linked to the securities
BlackRock, Inc.	0	0		0.00%	
BlackRock (Netherlands) B.V.	20,988	20,988		0.01%	
BlackRock (Singapore) Limited	7,282	7,282		0.00%	
BlackRock Advisors (UK) Limited	1,512,397	1,556,444		0.80%	
BlackRock Advisors, LLC	223,823	223,910		0.12%	
BlackRock Asset Management Canada Limited	151,325	151,004		0.08%	
BlackRock Asset Management Deutschland AG	250,020	250,237		0.13%	
BlackRock Asset Management North Asia Limited	4,022	997		0.00%	
BlackRock Capital Management, Inc.	0	73		0.00%	
BlackRock Financial Management, Inc.	162,856	162,866		0.08%	
BlackRock Fund Advisors	2,405,856	2,401,785		1.23%	
BlackRock Institutional Trust Company, National Association	3,298,521	3,291,106		1.69%	
BlackRock International Limited	24,746	24,746		0.01%	
BlackRock Investment Management (Australia) Limited	68,207	68,046		0.03%	
BlackRock Investment Management (UK) Limited	390,234	389,899		0.20%	
BlackRock Investment Management, LLC	301,811	296,120		0.15%	
BlackRock Japan Co., Ltd.	268,619	229,485		0.12%	
Subtotal	9,090,707	9,074,988		4.67%	
<b>TOTAL</b>		<b>9,074,988</b>	<b>0</b>	<b>4.67%</b>	<b>0.00%</b>

B) Equivalent financial instruments	After the transaction					
Holders of equivalent financial instruments	Type of financial instrument	Expiration date	Exercise period or date	# of voting rights that may be acquired if the instrument is exercised	% of voting rights	Settlement
BlackRock Advisors (UK) Limited	Contract for Difference			4,807	0.00%	cash
BlackRock Advisors, LLC	Contract for Difference			15,167	0.01%	cash
BlackRock Institutional Trust Company, National Association	Contract for Difference			273,582	0.14%	cash
BlackRock International Limited	Contract for Difference			7,627	0.00%	cash
BlackRock Investment Management (UK) Limited	Contract for Difference			198,264	0.10%	cash
BlackRock Investment Management, LLC	Contract for Difference			126,725	0.07%	cash
<b>TOTAL</b>				<b>626,172</b>	<b>0.32%</b>	

TOTAL (A & B)		# of voting rights	% of voting rights
<b>CALCULATE</b>		<b>9,701,160</b>	<b>4.99%</b>

- **Additional information:**

The disclosure obligation arose due to total holding in voting rights for BlackRock, Inc. going below 5%.

2.3. The second transparency notification dated 2 August 2018 includes the following specific information:

- **Date on which the threshold is crossed:** 31 July 2018.
- **Notified details:**

A) Voting rights	Previous notification	After the transaction			
		# of voting rights	# of voting rights		% of voting rights
	Linked to securities	Not linked to the securities	Linked to securities	Not linked to the securities	
BlackRock, Inc.	0	0		0.00%	
BlackRock (Netherlands) B.V.	20,988	20,988		0.01%	
BlackRock (Singapore) Limited	7,282	7,282		0.00%	
BlackRock Advisors (UK) Limited	1,556,444	1,582,684		0.81%	
BlackRock Advisors, LLC	223,910	222,173		0.11%	
BlackRock Asset Management Canada Limited	151,004	151,157		0.08%	
BlackRock Asset Management Deutschland AG	250,237	250,237		0.13%	
BlackRock Asset Management North Asia Limited	997	997		0.00%	
BlackRock Capital Management, Inc.	73	70		0.00%	
BlackRock Financial Management, Inc.	162,866	162,880		0.08%	
BlackRock Fund Advisors	2,401,785	2,400,580		1.23%	
BlackRock Institutional Trust Company, National Association	3,291,106	3,245,552		1.67%	
BlackRock International Limited	24,746	24,746		0.01%	
BlackRock Investment Management (Australia) Limited	68,046	68,046		0.03%	
BlackRock Investment Management (UK) Limited	389,899	387,901		0.20%	
BlackRock Investment Management, LLC	296,120	296,115		0.15%	
BlackRock Japan Co., Ltd.	229,485	316,849		0.16%	
Subtotal	9,074,988	9,138,257		4.70%	
	<b>TOTAL</b>	<b>9,138,257</b>	<b>0</b>	<b>4.70%</b>	<b>0.00%</b>

B) Equivalent financial instruments	After the transaction					
Holders of equivalent financial instruments	Type of financial instrument	Expiration date	Exercise period or date	# of voting rights that may be acquired if the instrument is exercised	% of voting rights	Settlement
BlackRock Advisors (UK) Limited	Contract for Difference			4,807	0.00%	cash
BlackRock Advisors, LLC	Contract for Difference			15,167	0.01%	cash
BlackRock Financial Management, Inc.	Contract for Difference			323	0.00%	cash
BlackRock Institutional Trust Company, National Association	Contract for Difference			273,192	0.14%	cash
BlackRock International Limited	Contract for Difference			7,627	0.00%	cash
BlackRock Investment Management (UK) Limited	Contract for Difference			197,886	0.10%	cash
BlackRock Investment Management, LLC	Contract for Difference			126,725	0.07%	cash
<b>TOTAL</b>				<b>625,727</b>	<b>0.32%</b>	

TOTAL (A & B)		# of voting rights	% of voting rights
<b>CALCULATE</b>		<b>9,763,984</b>	<b>5.02%</b>

- Additional information:**

The disclosure obligation arose due to total holding in voting rights for BlackRock, Inc. going above 5%.

### 3. Further information

This press release and the detailed transparency notification are available on UCB SA/NV's website via the following [link](#).

An updated overview of the UCB SA/NV large shareholdings will be available on UCB SA/NV's website via the following [link](#).

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#### About UCB

UCB, Brussels, Belgium ([www.ucb.com](http://www.ucb.com)) is a global biopharmaceutical company focused on the discovery and development of innovative medicines and solutions to transform the lives of people living with severe diseases of the immune system or of the central nervous system. With more than 7 500 people in approximately 40 countries, the company generated revenue of €4.5 billion in 2017. UCB is listed on Euronext Brussels (symbol: UCB). Follow us on Twitter: @UCB\_news