



# **Transparency notification UCB SA/NV**

Notification referring to situation on 17 January 2020

Threshold crossed: 3%Latest holding: 2.95%

Brussels (Belgium), 20 January 2020 – 20:10 (CET) – regulated information

### 1. Summary of the notification

Pursuant to Article 14 of the law of 2 May 2007 on the disclosure of large shareholdings, UCB SA/NV announces that it has filed a transparency notification.

The transparency notification dated 20 January 2020 has been submitted in accordance with Article 18 *juncto* Article 6 of the law of 2 May 2007 on the disclosure of large shareholdings, due to UCB covering its obligations towards employees in the framework of the Long Term Incentive plans of the UCB group. As a result thereof, voting rights relating to voting securities held by UCB SA/NV and its affiliates crossed downwards the 3% threshold on 17 January 2020.

On 17 January 2020, UCB SA/NV (taking into account the holding of its affiliates) owned 5 742 539 UCB shares with voting rights (versus 5 975 516 UCB shares in its previous notification dated 12 March 2019), representing 2.95% of the total number of shares issued by the company (194 505 658) (versus 3.07% in the notification dated 12 March 2019).

## 2. Content of the notification

- Reason for the notification:
  - Acquisition or disposal of voting securities or voting rights
  - Downwards crossing of the lowest threshold
- Notification by: A parent undertaking or a controlling person.
- Person subject to the notification requirement:

Name		Address (for legal entities)			
UCB SA/NV		Allée de la recherche 60, 1070 Brussels (Anderlecht)			

• Date on which the threshold is crossed: 17 January 2020





• Threshold crossed (in %): 3%

• **Denominator**: 194 505 658

#### Notified details:

A) Voting rights	Previous notification		After the transaction			
	# of voting rights	# of voting rights		% of voting rights		
Holders of voting rights		Linked to securities	Not linked to the securities	Linked to securities	Not linked to the securities	
UCB SA/NV	2,025,676	1,616,420		0.83%		
UCB Fipar SA	3,949,840	4,126,119		2.12%		
Subtotal	5,975,516	5,742,539		2.95%		
TOTAL		5,742,539	0	2.95%	0.00%	

B) Equivalent financial instruments	nt financial instruments After the transaction					
Holders of equivalent financial instruments	Type of financial instrument	Expiration date	Evereine period or	# of voting rights that may be acquired if the instrument is exercised	% of voting rights	Settlement
TOTAL			0	0.00%		

TOTAL (A & B)		# of voting rights	% of voting rights	
	CALCULATE	5,742,539	2.95%	

• Chain of controlled undertakings through which the holding is effectively held:

UCB SA/NV indirectly controls UCB Fipar SA.

#### Additional information:

The disclosure obligation arose due to voting rights held by UCB SA and its affiliates crossing downwards the 3% minimum threshold. The variation is due to UCB covering its obligations towards employees in the framework of the Long Term Incentive plans of the UCB group.



## 3. Further information

This press release and the detailed transparency notification are available on UCB SA/NV's website via the following <u>link</u>.

An updated overview of the UCB SA/NV large shareholdings will be available on UCB SA/NV's website via the following <u>link</u>.

#### **Investor Relations**

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## **About UCB**

UCB, Brussels, Belgium (<u>www.ucb.com</u>) is a global biopharmaceutical company focused on the discovery and development of innovative medicines and solutions to transform the lives of people living with severe diseases of the immune system or of the central nervous system. With 7 500 people in approximately 40 countries, the company generated revenue of € 4.6 billion in 2018. UCB is listed on Euronext Brussels (symbol: UCB). Follow us on Twitter: @UCB\_news.

